19 October 2018

INDUSTRIAL ACTION BALLOT - UCU

TRADE DISPUTE WITH WARWICK, UNIVERSITY OF CONCERNING THE 2018/19 JOINT HE TRADE UNION NATIONAL CLAIM

Our independent scrutineers' report, as required in accordance with section 231B of the Trade Union and Labour Relations (Consolidation) Act 1992, for the above ballot which closed at 12 noon on Friday, 19 October 2018 is as follows:-

Number of individuals who were entitled to vote in the ballot 1010
Number of voting papers returned 368

Question: ARE YOU PREPARED TO TAKE INDUSTRIAL ACTION CONSISTING OF STRIKE ACTION?

Number of spoilt or otherwise invalid voting papers returned 1
Number of votes cast in the ballot 367
Votes cast in the ballot as a % of individuals who were entitled to vote 36.4%

Result of Voting

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>261</th>
<th>71.1%</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>106</td>
<td></td>
<td>28.9%</td>
</tr>
</tbody>
</table>

We are satisfied:

a) that there are no reasonable grounds for believing that there was any contravention of a requirement imposed by or under any enactment in relation to the ballot

b) that the arrangements made with respect to the production, storage, distribution, return or other handling of the voting papers used in the ballot, and the arrangements for the counting of the votes, included all such security arrangements as were reasonably practicable for the purpose of minimizing the risk that any unfairness or malpractice might occur,

c) that we have been able to carry out the functions conferred on us under section 226B(1) without any interference from the trade union or any of its members, officials or employees.

We would draw your attention to subsection 231B (2) which requires that, for a six month period starting from the date of the ballot, a copy of this report be made available, on request, to any person who was entitled to vote in the ballot and the employer of any such person. A reasonable fee may be charged for this service.

Electoral Reform Services
Independent Scrutineer
19 October 2018

INDUSTRIAL ACTION BALLOT - UCU

TRADE DISPUTE WITH WARWICK, UNIVERSITY OF CONCERNING THE 2018/19 JOINT HE TRADE UNION NATIONAL CLAIM

Our independent scrutineers' report, as required in accordance with section 231B of the Trade Union and Labour Relations (Consolidation) Act 1992, for the above ballot which closed at 12 noon on Friday, 19 October 2018 is as follows:-

Number of individuals who were entitled to vote in the ballot: 1010
Number of voting papers returned: 368

Question: ARE YOU PREPARED TO TAKE INDUSTRIAL ACTION CONSISTING OF ACTION SHORT OF STRIKE ACTION UP TO AND INCLUDING MARKING AND ASSESSMENT BOYCOTT?

Number of spoilt or otherwise invalid voting papers returned: 1
Number of votes cast in the ballot: 367
Votes cast in the ballot as a % of individuals who were entitled to vote: 36.4%

Result of Voting

<table>
<thead>
<tr>
<th>Yes</th>
<th>295</th>
<th>80.4%</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>72</td>
<td>19.6%</td>
</tr>
</tbody>
</table>

We are satisfied:

a) that there are no reasonable grounds for believing that there was any contravention of a requirement imposed by or under any enactment in relation to the ballot

b) that the arrangements made with respect to the production, storage, distribution, return or other handling of the voting papers used in the ballot, and the arrangements for the counting of the votes, included all such security arrangements as were reasonably practicable for the purpose of minimizing the risk that any unfairness or malpractice might occur,

c) that we have been able to carry out the functions conferred on us under section 226B(1) without any interference from the trade union or any of its members, officials or employees.

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Electoral Reform Services
Independent Scrutineer